

# The Explicit Sato-Tate Conjecture in Arithmetic Progressions

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# Motivation

## Theorem (Prime Number Theorem)

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## Theorem

*Refinement to arithmetic progressions: Let  $a, q$  be such that  $\gcd(a, q) = 1$ . Then*

$$\pi(x; q, a) := \#\{p \leq x : p \text{ prime and } p \equiv a \pmod{q}\} \sim \frac{1}{\varphi(q)} \text{Li}(x).$$

# Modular Forms

- Recall that a modular form of weight  $k$  on  $SL_2(\mathbb{Z})$  is a function  $f : \mathbb{H} \rightarrow \mathbb{C}$  with

$$f(z) = \sum_{n=0}^{\infty} a_f(n)q^n, \quad q = e^{2\pi iz}$$

and

$$f(\gamma z) = (cz + d)^k f(z) \text{ for all } \gamma \in SL_2(\mathbb{Z}).$$

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- By restricting to the action of a congruence subgroup  $\Gamma \subset SL_2(\mathbb{Z})$  of level  $N$ , we can associate that level to our modular form  $f(z)$ .
- We say a modular form is a cusp form if it vanishes at the cusps of  $\Gamma$ ; hence  $a_f(0) = 0$  for a cusp form  $f(z)$ .

# Newforms

- We say  $f$  is a Hecke eigenform if it is a cusp form and

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where  $T_n$  is the Hecke operator.

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- A newform is a cusp form that is an eigenform for all Hecke operators.
- For a newform, the coefficients  $a_f(n)$  are multiplicative.
- We consider holomorphic cuspidal newforms of even weight  $k \geq 2$  and squarefree level  $N$ .

# The Ramanujan Tau Function

- Ramanujan tau function:

$$\Delta(z) := q \prod_{n=1}^{\infty} (1 - q^n)^{24} = \sum_{n=1}^{\infty} \tau(n) q^n = q - 24q^2 + 252q^3 + \dots .$$

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## Conjecture (Lehmer)

For all  $n \geq 1$ ,  $\tau(n) \neq 0$ .

# The Sato-Tate Law

## Theorem (Deligne, 1974)

*If  $f$  is a newform as above, then for each prime  $p$  we have*

$$|a_f(p)| \leq 2p^{\frac{k-1}{2}}.$$

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- Natural question: What is the distribution of the sequence  $\{\theta_p\}$ ?



# The Sato-Tate Law (Continued)

## Theorem (Barnet-Lamb, Geraghty, Harris, Taylor)

Let  $f(z) \in S_k^{new}(\Gamma_0(N))$  be a non-CM newform. If  $F : [0, \pi] \rightarrow \mathbb{C}$  is a continuous function, then

$$\lim_{x \rightarrow \infty} \frac{1}{\pi(x)} \sum_{p \leq x} F(\theta_p) = \int_0^\pi F(\theta) d\mu_{ST}$$

where  $d\mu_{ST} = \frac{2}{\pi} \sin^2(\theta) d\theta$  is the Sato-Tate measure. Further

$$\pi_{f,I}(x) := \#\{p \leq x : \theta_p \in I\} \sim \mu_{ST}(I) \text{Li}(x).$$

# Symmetric Power $L$ -functions

- We begin by writing

$$f(z) = \sum_{m=1}^{\infty} a_f(m)q^m = \sum_{m=1}^{\infty} m^{\frac{k-1}{2}} \lambda_f(m)q^m.$$

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- From this normalization, we have

$$L(s, f) = \prod_p \left(1 - e^{i\theta_p} p^{-s}\right)^{-1} \left(1 - e^{-i\theta_p} p^{-s}\right)^{-1},$$

and the  $n$ -th symmetric power  $L$ -function

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- To pass to arithmetic progressions, we consider  $L(s, \text{Sym}^n f \otimes \chi)$ .

## Previous Work

- Define  $\pi_{f,I}(x) = \#\{p \leq x : \theta_p \in I\}$  and let  $\mu_{ST}(I)$  denote the Sato-Tate measure of a subinterval  $I \subset [0, \pi]$ .

## Previous Work

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- Rouse and Thorner (2017): under certain analytic hypotheses on the symmetric power  $L$ -functions,

$$|\pi_{f,I}(x) - \mu_{ST}(I)Li(x)| \leq 3.33x^{3/4} - \frac{3x^{3/4} \log \log x}{\log x} + \frac{202x^{3/4} \log q(f)}{\log x}$$

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- Rouse-Thorner also leads to an explicit upper bound for the Lang-Trotter conjecture, which predicts the asymptotic of the number of primes for which  $a_f(p) = c$  for a fixed constant  $c$ .

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- We make some reasonable assumptions about the twisted Symmetric Power  $L$ -functions associated to a newform  $f$ , including:



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  - The existence of an analytic continuation of  $L(s, \text{Sym}^n f \otimes \chi)$  to an entire function on  $\mathbb{C}$  (and a corresponding functional equation).
  - Assumptions about the form of the above completed  $L$ -function, including its gamma factor and conductor.

# Our Results

Assuming the aforementioned hypotheses, we prove:

## Sato-Tate Conjecture for Primes in Arithmetic Progressions

Fix a modulus  $q$ . Let  $\phi(t)$  be a compactly supported  $C^\infty$  test function, and set  $\phi_x(t) = \phi(t/x)$ . For  $x \geq \max\{3.5 \times 10^7, 7400(q \log q)^2\}$ :

$$\left| \sum_{\substack{p \nmid N, \theta_p \in I \\ p \equiv a(q)}} \log(p) \phi_x(p) - \frac{x \mu_{ST}(I)}{\varphi(q)} \left( \int_{-\infty}^{\infty} \phi(t) dt \right) \right| \leq \frac{Cx^{3/4} \sqrt{\log x}}{\sqrt{\varphi(q)}}$$

for some computable constant  $C$  depending on  $\phi$ .

# Our Results (continued)

## Theorem

Let  $\tau(n)$  be the Ramanujan tau function. Then for  $x \geq 10^{50}$ ,

$$\#\{x < p \leq 2x \mid \tau(p) = 0\} \leq 5.973 \times 10^{-7} \frac{x^{3/4}}{\sqrt{\log x}}.$$

## Our Results (continued)

### Theorem

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As a consequence, we obtain the following strong evidence in favor of Lehmer's conjecture:

### Theorem

Let  $\tau(n)$  be the Ramanujan tau function. Then

$$\lim_{X \rightarrow \infty} \frac{\#\{n \leq X \mid \tau(n) \neq 0\}}{X} > 1 - 5.2 \times 10^{-14}.$$

Proof Outline: Bounding  $\#\{x < p \leq 2x \mid \tau(p) = 0\}$ 

- If  $\tau(p) = 0$ , then  $\theta_p = \pi/2$  and, by the work of Serre (1981),  $p$  is in one of 33 possible residue classes modulo

$$q = 24 \times 49 \times 3094972416000.$$

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- If we let  $\phi_x(t) = \phi(t/x)$ , where  $\phi(t) \in C_c^\infty$  is a test function such that  $\phi(t) \geq \chi_{[1,2]}$ , then we have



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$$\frac{33}{\log x} \sum_{\substack{p \\ \theta_p = \pi/2 \\ p \equiv a(q)}} \log(p) \phi_x(p) \geq \#\{x < p \leq 2x \mid \tau(p) = 0\}.$$

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## Bounding the $\theta_p \in [\pi/2, \pi/2]$ condition

Rouse-Thorner (2017) construct trigonometric polynomials

$$F_{I,M}^{\pm}(\theta) = \sum_{n=0}^M \hat{F}_{I,M}^{\pm}(n) U_n(\cos \theta)$$

which satisfy  $\forall x \in [0, \pi]$ ,

$$F_{I,M}^{-}(x) \leq \chi_I(x) \leq F_{I,M}^{+}(x)$$

and best approximate the indicator function for any interval  $I \in [0, \pi]$ . Using these we can expand out the sum from the previous slide.

# Proof Outline: Bounding $\#\{p < x \leq 2x \mid \tau(p) = 0\}$

## Fourier Expansion

Therefore, setting  $I = [\pi/2 - \epsilon, \pi/2 + \epsilon]$ :

$$\sum_p \frac{\log p}{\log x} \phi_x(p)$$

$\theta_p = \pi/2$   
 $p \equiv a(q)$

$$\leq \frac{1}{\log x} \sum_{n=0}^M |\hat{F}_{I,M}^+(n)| \frac{1}{\varphi(q)} \sum_{\chi(q)} \bar{\chi}(a) \left| \sum_p U_n(\cos \theta_p) \log(p) \chi(p) \phi_x(p) \right|.$$

Through contour integration we can bound this innermost sum, and consequently, obtain a bound for the entire expression.

# Proof Outline: The Contour Integral

The innermost sum is related to the contour integral of the  $n$ -th symmetric  $L$ -function twisted by  $\chi$ :

$$\sum_{p^j} U_n(\cos(j\theta_p)) \chi(p^j) \log(p) = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} -\frac{L'}{L}(s, \text{Sym}^n f \otimes \chi) \Phi_\chi(s) ds.$$

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By pushing this contour to  $-\infty$  and summing the residues from the zeros of  $L(s, \text{Sym}^n f \otimes \chi)$ , we have

$$\sum_p U_n(\cos \theta_p) \log(p) \chi(p) \phi_x(p) = \delta_{\substack{n=0 \\ \chi=\chi_0}} \Phi(1)x - \sum_p \Phi(\rho)x^\rho + O(n\sqrt{x}).$$

# Proof Outline: From the Contour Integral to the Final Bound

Evaluates to

$$\left| \sum_p U_n(\cos \theta_p) \log(p) \chi(p) \phi_x(p) \right| \leq \delta_{n=0} \Phi(1)_x + O(n \log n \sqrt{x})$$

where we can compute explicit bounds for the error term.

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$x = x_0$

where we can compute explicit bounds for the error term. Then,

$$\sum_{\substack{p \\ \theta_p = \pi/2 \\ p \equiv a(q)}} \frac{\log p}{\log x} \phi_x(p) \leq \frac{1}{\log x} \left( \frac{1.33x}{\varphi(q)M} + 7.63M \log M \sqrt{x} + O(M \sqrt{x}) \right).$$

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Selecting  $M = 6.894 \times 10^{-9} \frac{x^{1/4}}{\sqrt{\log x}}$ , gives us our final bound.  $\square$



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