

Stable Models and U_p Slope Calculations

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Overview of Talk

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Part I - Slopes of U_7 Acting on Modular Forms for $\Gamma_1(49)$

- (1) Recall Basic Definitions
- (2) State Theorem of Kilford-McMurdy
- (3) Explicit Example
- (4) Proof Sketch

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- (3) Explicit Example
- (4) Proof Sketch

Part II - Optimal Models for $X_0(p^n)$ for Slope Calculations

- (1) Wish List
- (2) A Potentially Useful Family
- (3) Some properties and an Example

Basic Definitions

$M_k(\Gamma_1(N)), S_k(\Gamma_1(N))$: classical modular forms and cuspforms
 $M_k(\Gamma_1(N), \epsilon), S_k(\Gamma_1(N), \epsilon)$: subspaces with specified character

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When a prime p divides N , recall that the Hecke operator, U_p , acts on $M_k(\Gamma_1(N))$, preserving these subspaces. The action of U_p on q -expansions at infinity is given by

$$U_p \left(\sum a_n q^n \right) = \sum a_{np} q^n.$$

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Now, let f be a normalized eigenform defined over a number field K , so that a_p is its U_p eigenvalue. Embed K into \mathbb{C}_p . Then the **slope** of f is the p -adic valuation of a_p where $v(p) = 1$.

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Open Problem: Determine the slopes of $M_k(\Gamma_1(N), \epsilon)$, as a function of (p, k, N, ϵ) and the embedding.

Kilford-McMurdy for $\Gamma_1(49)$

Fix a primitive 42^{nd} root of unity, ζ , and let χ be the Dirichlet character of conductor 49 defined by $\chi(3) = \zeta$. Let K_1 and K_2 be the 7-adic completions of $\mathbb{Q}[\zeta]$ so that $v(\zeta + 1) > 0$ and $v(\zeta + 4) > 0$ respectively.

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(1) $S_k(\Gamma_1(49), \chi^{7k-6})$ is diagonalized by U_7 over K_1 . The slopes of U_7 on this space are the values less than $k - 1$ in

$$\left\{ \frac{1}{6} \cdot \left\lfloor \frac{9i}{7} \right\rfloor : i \in \mathbb{N} \right\}.$$

(2) $S_k(\Gamma_1(49), \chi^{8-7k})$ is diagonalized by U_7 over K_2 . The slopes of U_7 on this space are the values less than $k - 1$ in

$$\left\{ \frac{1}{6} \cdot \left\lfloor \frac{9i+6}{7} \right\rfloor : i \in \mathbb{N} \right\}.$$

(Each slope corresponds to a one dimensional eigenspace.)

Example

Let $\psi(3) = \gamma$ a primitive 21st root of unity. Then $S_2(\Gamma_1(49), \psi)$ has one family defined over $\mathbb{Q}(\gamma, \alpha)$ where α is a root of

$$\begin{aligned} x^4 &+ (\gamma^5 + 1)x^3 + (\gamma^{10} - 5\gamma^5 + 1)x^2 \\ &+ (\gamma^{11} - 4\gamma^{10} - \gamma^7 - \gamma^6 - 2\gamma^5 - \gamma^3 + 2\gamma^2 - \gamma)x \\ &+ (2\gamma^{10} + \gamma^9 + \gamma^8 + \gamma^7 - \gamma^6 - \gamma^5 - \gamma^4 + \gamma^2 + \gamma + 1). \end{aligned}$$

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$$\begin{aligned}a_7 &= (\gamma^{11} - \gamma^{10} + \gamma^8 - \gamma^7 - \gamma^6 + \gamma^5 - \gamma^3 + \gamma^2 - 1)\alpha^3 \\ &+ (\gamma^8 - \gamma^6 + \gamma^5 - \gamma^4 - \gamma^3 + \gamma^2)\alpha^2 \\ &+ (4\gamma^{11} - \gamma^6 + \gamma^5 + 4\gamma^4 - \gamma^3 + \gamma^2 - \gamma)\alpha \\ &- (\gamma^{11} - \gamma^{10} - 3\gamma^9 + \gamma^8 - \gamma^7 - 2\gamma^6 + 2\gamma^5 + \gamma^4 - 3\gamma^3 + 2\gamma^2 + \gamma - 3).\end{aligned}$$

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The theorem applies over K_1 if we take $\gamma = \zeta^8$, since

$$\chi^{7(2)-6} = \chi^8 = \gamma.$$

Example (cont)

Choose the uniformizer $\pi_1 = -\zeta^8 + \zeta^6 - \zeta^4 + \zeta$ for K_1 . Then $v(\pi_1) = 1/6$. The roots for α are defined over K_1 with the following approximations:

$$\alpha_1 = 4 + 5\pi_1 + 1\pi_1^2 + 2\pi_1^3 + 3\pi_1^4 + 5\pi_1^5 + \dots$$

$$\alpha_2 = 5 + 4\pi_1 + 2\pi_1^2 + 3\pi_1^3 + 4\pi_1^4 + 1\pi_1^5 + \dots$$

$$\alpha_3 = 4 + 1\pi_1 + 5\pi_1^2 + 4\pi_1^3 + 1\pi_1^4 + 6\pi_1^5 + \dots$$

$$\alpha_4 = 5 + 5\pi_1^2 + 4\pi_1^3 + 4\pi_1^5 + 2\pi_1^6 + \dots$$

Plugging these values into a_7 we find π_1 -adic valuations of 1, 2, 3, and 5. So the theorem is verified in this special case.

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(4) Keep fingers crossed that (3a) and (3b) are the same!!

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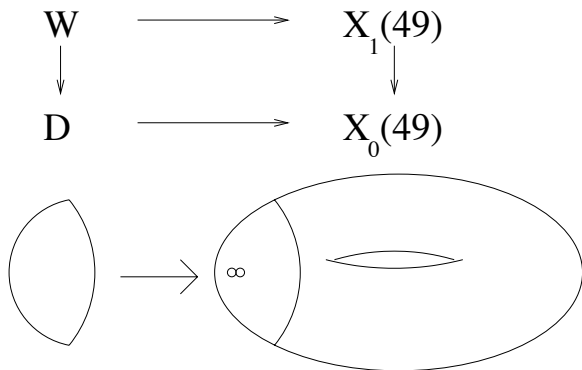
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We want to work on $X_0(49)$, because we have good explicit equations. Fortunately, there are Eisenstein series, $E_{1,\chi}$ and $E_{1,\tau}$ which are holomorphic and non-vanishing over W . Therefore, we can define an isomorphism

$$M_0(\Gamma_0(49))(D) \cong M_k(\Gamma_1(49), \chi\tau^{k-1})(W),$$

where D is the wide open disk of $X_0(49)$ over which W lies (via the forgetful map).

General Setup - The Picture



$$\begin{aligned}
 M_0(\Gamma_0(49))(D) &\rightarrow M_k(\Gamma_1(49), \chi\tau^{k-1})(W) \\
 f &\mapsto f \cdot E_{1,\chi} \cdot E_{1,\tau}^{k-1}
 \end{aligned}$$

Let \tilde{U}_7 be the induced linear operator on $M_0(\Gamma_0(49))(D)$.

The Explicit Part of the Proof

Now we consider the following explicit model for $X_0(49)$.

$$\begin{aligned}y^2 - 7xy(x^2 + 5x + 7) \\ - x(x^6 + 7x^5 + 21x^4 + 49x^3 + 147x^2 + 343x + 343) &= 0 \\ z^2 &= x(4x^2 + 21x + 28)\end{aligned}$$

Here, $x = \eta_1/\eta_{49}$ and $y = \eta_7^4/\eta_{49}^4$. Also, $t = x^4/y$ is a parameter on the genus 0 curve, $X_0(7)$, with divisor $(0) - (\infty)$, which lifts to a parameter on D .

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Taking $s = \sqrt[4]{7}/t$, $M_0(\Gamma_0(49))(D)$ has “basis” $\{s, s^2, s^3, \dots\}$. Every form has a unique power series expansion in s , and the forms of bounded norm are given by $\mathbb{R}_7[[s]] \otimes \mathbb{C}_7$. This implies that the characteristic polynomials of the truncations of the corresponding matrix representing \tilde{U}_7 converge in sup norm to the characteristic series of \tilde{U}_7 .

A Truncation of the Large Matrix ($k = 1$ shown)

Write $\tilde{U}_7(s^i)$ as a power series in s , and put the coefficients in the i^{th} column. This yields an infinite dimensional matrix that represents \tilde{U}_7 in the basis $\{s, s^2, \dots\}$. A truncation of the corresponding matrix of 7-adic valuations, over K_1 , is as follows.

$$\begin{bmatrix} 1/6 & 5/12 & 1/2 & 3/4 & 1 & 5/4 & 3/2 \\ 1/4 & 1/3 & 7/12 & 5/6 & 13/12 & 7/6 & 29/12 \\ 1/6 & 5/12 & 2/3 & 11/12 & 1 & 5/4 & 5/2 \\ 1/4 & 1/2 & 3/4 & 5/6 & 13/12 & 4/3 & 31/12 \\ 1/6 & 5/12 & 1/2 & 3/4 & 1 & 5/4 & 7/3 \\ 1/4 & 1/3 & 7/12 & 5/6 & 13/12 & 7/6 & 29/12 \\ 1/6 & 5/12 & 2/3 & 11/12 & 1 & 5/4 & 5/2 \end{bmatrix}$$

Our theorem says that the sequence of slopes should be $\{1/6, 1/3, 1/2, 5/6, 1, 7/6, 3/2, \dots\}$ (almost the sequence of column valuations). This will follow if the determinant of each $j \times j$ truncation is larger than that of any other principle $j \times j$ minor. To prove that, we consider the “column functions.”

“Column Functions”

Proposition: Approximations for $\tilde{U}_7(s^i)$ for $1 \leq i \leq 7$ over $K_1(\alpha)$ where $\alpha^4 = -7$ are as follows.

$\tilde{U}_7(s^1) \equiv 2\alpha\pi_1 z / (x(x + \pi_1^3)),$	$\mathbf{v}_1 = 2, \quad \mathbf{e}_1 \geq 3$
$\tilde{U}_7(s^2) \equiv 4\alpha^2\pi_1^2/x,$	$\mathbf{v}_1 = 4, \quad \mathbf{e}_1 \geq 5$
$\tilde{U}_7(s^3) \equiv \alpha^3 z/x^2 + 5\alpha^3\pi_1^2/x,$	$\mathbf{v}_1 = 6, \quad \mathbf{e}_1 \geq 8$
$\tilde{U}_7(s^4) \equiv 3\alpha^4 z/x^2 + 2\alpha^4\pi_1^2(x + 4\pi_1^3)/x^2,$	$\mathbf{v}_1 = 9, \quad \mathbf{e}_1 \geq 11$
$\tilde{U}_7(s^5) \equiv 6\alpha^5 z(x + \pi_1^3)/x^3,$	$\mathbf{v}_1 = 12, \quad \mathbf{e}_1 \geq 13$
$\tilde{U}_7(s^6) \equiv \alpha^6\pi_1(x^2 + 7)/x^3,$	$\mathbf{v}_1 = 14, \quad \mathbf{e}_1 \geq 15$
$\tilde{U}_7(s^7) \equiv \alpha^7/t,$	$\mathbf{v}_1 = 18, \quad \mathbf{e}_1 \geq 19$

(A recursive formula kicks in from there.)

Note: $\frac{1}{12}\mathbf{v}_1(f)$ denotes the minimal 7-adic valuation of f over D .

“Column Functions (cont)”

Scaling and reducing the column functions on the stable reduction, we have the following functions and divisors.

$$(Z/(X(X-1))) = (\infty) + (-1, 0) - (0, 0) - (1, 0)$$

$$(1/X) = 2(\infty) - 2(0, 0)$$

$$(Z/X^2) = (1, 0) + (-1, 0) + (\infty) - 3(0, 0)$$

$$((X-1)/X^2) = 2(1, 0) + 2(\infty) - 4(0, 0)$$

$$(Z(X-1)/X^3) = 3(1, 0) + (-1, 0) + (\infty) - 5(0, 0)$$

$$((X^2-1)/X^3) = 2(1, 0) + 2(-1, 0) + 2(\infty) - 6(0, 0)$$

$$(Z(X^2-1)/X^4) = 3(1, 0) + 3(-1, 0) + (\infty) - 7(0, 0).$$

By Riemann-Roch, no linear combination of the first j can ever vanish to degree $j+1$ at ∞ . Thus, the determinant of the j^{th} truncation approximates the j^{th} coefficient of the characteristic series and the slopes are as claimed.

Part II - Optimal Models for $X_0(p^n)$ for Slope Calculations

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(1) We must be able to write down a “Banach basis” for the functions on $W_1(p^n)$.

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(1) We must be able to write down a “Banach basis” for the functions on $W_1(p^n)$.

Canonical Example: Let W be the wide open in \mathbf{P}^1 whose \mathbb{C}_p -valued points satisfy

$$v((x-1)(x-2)(x-3)) < 1$$

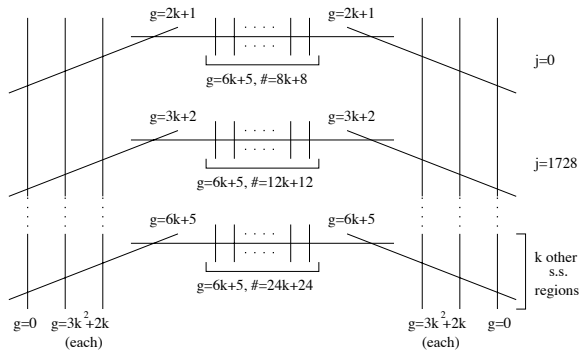
(the complement of three affinoid disks). Then

$$A_K(W) = K \langle X, Y, Z \rangle / (XY - p(X - Y), 2XZ - p(X - Z), YZ - p(Y - Z)).$$

Think $X = \frac{p}{t-1}$, $Y = \frac{p}{t-2}$ and $Z = \frac{p}{t-3}$ for a parameter t on \mathbf{P}^1 .

In general, $W_1(p^n)$ is isomorphic to the complement in \mathbf{P}^1 of ss affinoid disks (one for each supersingular j -invariant).

(2) Parameters should generate the Weierstrass parameters on the “first” supersingular components.



Stable reduction of $X_0(p^3)$ when $p = 12k + 11$ is shown. The left-most genus 0 vertical component is the reduction of $W_1(p^3)$. It intersects the components, \mathbf{Y}_{21}^A , which have the equation

$$y^2 = x^{(p+1)/i(A)} - 1.$$

Candidate Model for $X_0(p)$

$$t = \left(\frac{\eta_1}{\eta_p} \right)^{e_1} \quad x = \left(\frac{dt/t}{(\eta_1 \eta_p)^2} \right)^{e_2}$$

If $p = 12k + 1$, we have: $(e_1, e_2) = (2, 6)$ and

$$(t) = k(0) - k(\infty)$$

$$(x)_{neg} = -(6k + 1)(0) - (6k + 1)(\infty).$$

If $p = 12k + 5$, we have $(e_1, e_2) = (6, 2)$ and

$$(t) = (3k + 1)(0) - (3k + 1)(\infty)$$

$$(x)_{neg} = -(2k + 1)(0) - (2k + 1)(\infty).$$

If $p = 12k + 7$, we have $(e_1, e_2) = (4, 3)$ and

$$(t) = (2k + 1)(0) - (2k + 1)(\infty)$$

$$(x)_{neg} = -(3k + 2)(0) - (3k + 2)(\infty).$$

If $p = 12k + 11$, we have $(e_1, e_2) = (12, 1)$ and

$$(t) = (6k + 5)(0) - (6k + 5)(\infty)$$

$$(x)_{neg} = -(k + 1)(0) - (k + 1)(\infty).$$

Properties and Example

Important Fact: The Atkin-Lehner involution, w_1 , fixes x and satisfies

$$w_1^* t = \frac{p^{(e_1/2)}}{t}.$$

Example: $X_0(17)$ has the equation:

$$\begin{aligned} & t^3 x^4 + (-3934t^3)x^3 + (-8608t^4 + 2667641t^3 - 42291104t^2)x^2 \\ & + (-2944t^5 - 408968t^4 - 38771644t^3 - 2009259784t^2 - 71061003136t)x \\ & \quad - 256t^6 - 79328t^5 - 11950529t^4 - 1059834654t^3 \\ & \quad - 58712948977t^2 - 1914785073632t - 30358496383232 = 0 \end{aligned}$$

It's actually much nicer. For example, $f(0, t) = t^3 \cdot [g(t) + g(\frac{17^3}{t})]$, where

$$g(t) = -256t^3 - 79328t^2 - 11950529t - 529917327.$$

It's almost certainly possible to compute slopes for specific p using this model - less clear what can be done in general.